

PATENT OFFICE  
Docket No: 99-66CON1  
PATENT

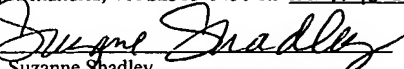
2003 NOV 14 11 05 AM

US PATENT & TRADEMARK  
OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop M Correspondence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on ~~11-10-2003~~

BY:

  
Suzanne Shadley

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NOV 17 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lugmair et al.  
Serial No.: 10/621,188  
Filed: July 16, 2003  
For: Method and System For The In Situ  
Synthesis Of A Combinatorial Library Of  
Supported Catalyst Materials

Confirmation No.: 2334

Group Art Unit: 1754

Examiner: Unknown

OFFICE OF PETITIONS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |  |  |
|--|--|
| <input type="checkbox"/> Preliminary Amendment                       | <input type="checkbox"/> Supplemental Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment                          | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)              |
| <input type="checkbox"/> Response/Amendment After Final              | <input type="checkbox"/> Formal Drawings                               |
| <input type="checkbox"/> Supplemental Amendment                      | <input type="checkbox"/> Declaration Under 37 CFR 1.131                |
| <input type="checkbox"/> Affidavits/Declarations                     | <input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b)     |
| <input type="checkbox"/> Declaration and Power of Attorney           | <input type="checkbox"/> Terminal Disclaimer                           |
| <input type="checkbox"/> Supplemental Declaration                    | <input type="checkbox"/> Small Entity Statement                        |
| <input type="checkbox"/> Associate Power of Attorney                 | <input type="checkbox"/> Request for Refund                            |
| <input checked="" type="checkbox"/> Change of Correspondence Address | <input type="checkbox"/> Appeal  |
| <input checked="" type="checkbox"/> Revocation of Power of Attorney  | <input type="checkbox"/> Petition                                      |
| <input checked="" type="checkbox"/> Power of Attorney by Assignee    | <input type="checkbox"/> Status Letter                                 |

to be filed in the above-identified patent application.

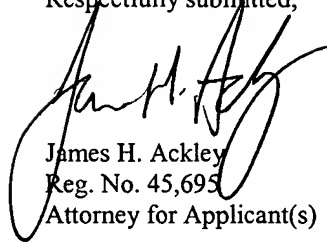
☒ No fee is required.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 50-0496.

A duplicate copy of this Transmittal Letter is transmitted herewith.

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NOV 20 2003  
TC 1700

Respectfully submitted,



James H. Ackley  
Reg. No. 45,695  
Attorney for Applicant(s)

Date: 11/5/03

Symyx Technologies, Inc.  
3100 Central Expressway  
Santa Clara, California 95051  
Tel.: (408) 720-2598  
Fax: (408) 773-4029

Please type a plus sign (+) inside this box → ☐

PTO/SB/122 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                        |                |
|--|------------------------|----------------|
| <b>CHANGE OF<br/>CORRESPONDENCE ADDRESS</b><br><i>Application</i><br><br>Address to:<br>Assistant Commissioner for Patents<br>Washington, D.C. 20231 | Application Number     | 10/621,188     |
|  | Filing Date            | July 16, 2003  |
|  | First Named Inventor   | Lugmair et al. |
|  | Group Art Unit         | 1754           |
|  | Examiner Name          | Unknown        |
|  | Attorney Docket Number | 99-66CON1      |

NOV 21 2003  
TC 1700

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Please change the Correspondence Address for the above-identified application to:

☒ Customer Number 22905

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OFFICE OF PETITIONS

OR

|   |  |       |  |     |  |
|---|--|-------|--|-----|--|
| <input type="checkbox"/> Firm or<br>Individual Name |  |       |  |     |  |
| Address   |  |       |  |     |  |
| City  |  | State |  | Zip |  |
| Country   |  |       |  |     |  |
| Telephone   |  | Fax   |  |     |  |

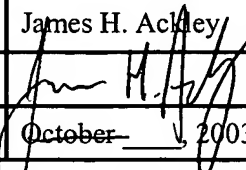
This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

- ☐ Applicant.
- ☐ Assignee of record of the entire interest.  
Certificate under 37 CFR 3.73(b) is enclosed. (PTO/SB/96)
- ☒ Attorney or agent of record.
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

I, Suzanne Shadley,, hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, POB 1450, Alexandria, VA 22313-1450, on the date listed below.

Signature: \_\_\_\_\_  
Dated: \_\_\_\_\_

|  |   |
|--|---|
| Typed or Printed Name  | James H. Ackley   |
| Signature  |  |
| Date   | October 1, 2003 November 5, 2003  |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.* |   |
| <input type="checkbox"/> * Total of _____ forms are submitted.   |   |

Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0035  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                        |                |   |
|--|------------------------|----------------|---|
| <b>REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b> | Application Number     | 10/621,188     | <b>RECEIVED</b><br>NOV 17 2003<br>OFFICE OF PETITIONS |
|  | Filing Date            | July 16, 2003  |   |
|  | First Named Inventor   | Lugmair et al. |   |
|  | Group Art Unit         | 1754           |   |
|  | Examiner Name          | Unknown        |   |
|  | Attorney Docket Number | 99-66CON1      |   |

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.**OR**☐ Please change the correspondence address for the above-identified application to:☐ Customer Number

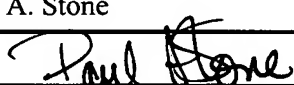
22905

 Place Customer  
 Number Bar Code  
 Label here
**OR**

|  |  |       |  |     |
|--|--|-------|--|-----|
| <input type="checkbox"/> Firm or Individual Name |  |       |  |     |
| Address  |  |       |  |     |
| City   |  | State |  | Zip |
| Country  |  |       |  |     |
| Telephone  |  | Fax   |  |     |

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

|           |   |
|-----------|---|
| Name      | Paul A. Stone   |
| Signature |  |
| Date      | October 22, 2003  |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.\*

☐ \* Total of \_\_\_\_\_ forms are submitted.

**POWER OF ATTORNEY BY ASSIGNEE**

SYMYX TECHNOLOGIES is the Assignee of the invention entitled: Method and System For The In Situ Synthesis Of A Combinatorial Library Of Supported Catalyst Materials, the specification of which was filed on July 16, 2003 as Application Serial No. 10/621,188.

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TC 1700

Assignee hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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NOV 17 2003

OFFICE OF PETITIONS

Paul A. Stone, Reg. No. 38,628  
James H. Ackley, Reg. No. 45,695  
Ronald A. Krasnow, Reg. No. 33,321

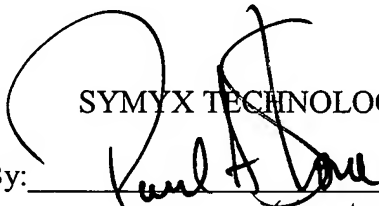
|   |   |
|---|---|
| Send Correspondence to:   | Direct Telephone Calls to:<br>(Name, reg. no., tele. no.) |
| James H. Ackley<br>Symyx Technologies<br>3100 Central Expressway<br>Santa Clara, CA 95051 | James H. Ackley<br>Reg. No.: 45,695<br>(408) 720-2598     |

Date:

Oct. 22, 2003

By:

SYMYX TECHNOLOGIES

  
(signature)

Name: Paul A. Stone

Title: Vice President, Chief Patent Counsel

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

Applicant: Lugmair et al.

Application No.: 10/621,188 Filed: July 16, 2003

For: Method and System For The In Situ Synthesis Of A Combinatorial Library Of Supported Catalyst Materials

Symyx Technologies, a corporation  
(Name of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011032, Frame(s) 0168 and at Reel unknown, Frame(s) unknown, copies of which are attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

NAME: Paul A. Stone

TITLE: Vice President

SIGNATURE: Paul A. Stone

DATE: Oct. 28, 2003



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 18, 2000

PTAS

DOBRUSIN DARDEN THENNISCH LORENZ PLLC  
ERIC M. DOBRUSIN  
401 S. OLD WOODWARD AVENUE, SUITE 311  
BIRMINGHAM, MI 48009



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OCT 23 2000

\*101443325A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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OFFICE OF PETITIONS

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/18/2000

REEL/FRAME: 011032/0168  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LUGMAIR, CLAUD G.

DOC DATE: 06/27/2000

ASSIGNOR:

POOJARY, DAMODARA M.

DOC DATE: 06/27/2000

ASSIGNOR:

HAGEMEYER, ALFRED

DOC DATE: 06/28/2000

ASSIGNEE:

SYMYX TECHNOLOGIES, INC.  
3100 CENTRAL EXPRESSWAY  
A DELAWARE CORPORATION  
SANTA CLARA, CALIFORNIA 95051

SERIAL NUMBER: 09516669

PATENT NUMBER:

FILING DATE: 03/01/2000  
ISSUE DATE:

1012-0000

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TC 1700

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



08-28-2000



FORM PTO-1596 (MODIFIED)  
(REV. 6-93)  
OMB NO. 0651-0011  
P09/REV01

101443325

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of the conveying party(ies):

Claus G. Lugmair; Damodara M. Poojary; and Alfred Hagemeyer

☒ Individuals ☐ (Association)  
☐ General Partnership ☐ Limited Partnership  
☐ Corporation-Delaware ☐ Other: \_\_\_\_\_  
 Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Dates: June 27, 2000 and June 28, 2000

## 2. Name and address of receiving party(ies):

Name: Symyx Technologies, Inc.

Internal Address:

Street Address: 3100 Central Expressway

City: Santa Clara State: CA Zip: 95051

☐ Individual(s) Citizenship \_\_\_\_\_  
☐ Association: \_\_\_\_\_  
☐ General Partnership: \_\_\_\_\_  
☐ Limited Partnership: \_\_\_\_\_  
☒ Corporation-State: Delaware  
☐ Other: Non-Profit Organization

Additional name(s) & address(ies) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration number(s):

A. Patent Application No.(s)

09/516,669, filed March 1, 2000

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eric M. Dobrusin (Reg. No. 33,867)

Internal Address: Dobrusin Darden Thennisch &amp; Lorenz PLLC

Street Address: 401 S. Old Woodward Avenue, Suite 311

City: Birmingham State: Michigan Zip: 48009

## 6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41): .....\$ 40.00

☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0496

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eric M. Dobrusin

Signature

July 12, 2000  
Date

Total number of pages including cover sheet, attachments and document: 3 Atty. Docket No. 1012-066

08/25/2000 MTHA11 . 00000221 500496 09516669

01 FC:581

40.00 CH

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Claus G. Lugmair of San Jose, California; Damodara M. Poojary of Cupertino, California; and Alfred Hagemeyer of Sunnyvale, California, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letter Patent:

Title of Invention: METHOD AND SYSTEM FOR THE IN SITU SYNTHESIS OF A COMBINATORIAL LIBRARY OF SUPPORTED CATALYST MATERIALS

Filing Date: March 1, 2000, Application No.: 09/516,669 and

WHEREAS, Symyx Technologies, Inc., is a corporation organized under the laws of the State of Delaware, located at 3100 Central Expressway, Santa Clara, California 95051, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application, and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: 6/27/00

Claus G. Lugmair  
Claus G. Lugmair

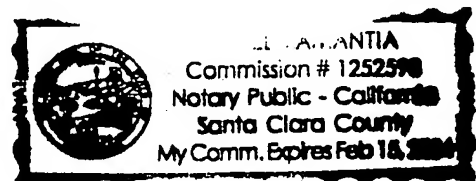
STATE OF California

COUNTY OF Santa Clara

On 6/27/00, before me, Renee Lamantia, Notary Public, personally appeared Claus G. Lugmair personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Signature Renee Lamantia (Seal)



Date: 6/27/00

Damodara  
Damodara M. Poojary

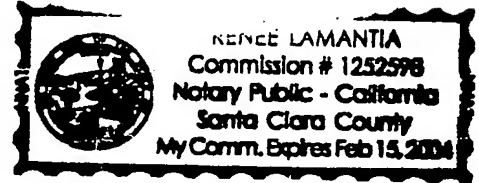
STATE OF California

COUNTY OF Santa Clara

On 6/27/00, before me, Renee Lamantia, Notary Public, personally appeared Damodara M. Poojary personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Signature Renee Lamantia (Seal)



Date: 6/28/00

Alfred Hagemeyer  
Alfred Hagemeyer

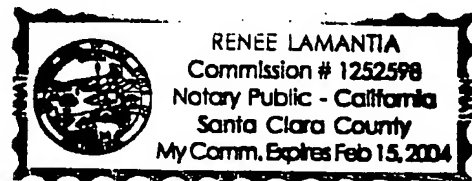
STATE OF California

COUNTY OF Santa Clara

On 6/28/00, before me, Renee Lamantia, Notary Public, personally appeared Alfred Hagemeyer, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Signature Renee Lamantia (Seal)



April 21, 2003

VIA EXPRESS MAIL

Commissioner for Patents  
Washington, D.C. 20231

Express Mail No. EV342875649US  
Date of Deposit: April 21, 2003

Re: Recordation Of Assignment

Dear Sir:


Please record the attached original assignment document.

1. Names of conveying parties: Daniel M. Giaquinta
2. Name and Address of Receiving Party: Symyx Technologies, Inc.  
3100 Central Expressway  
Santa Clara, CA 95051
3. Nature of Conveyance: Assignment executed on March 21, 2003.
4. This document is being filed for U.S. Application Serial No. 09/516,669, filed March 1, 2000.
5. Correspondence address: Eric M. Dobrusin, Esq.  
DOBRUSIN & THENNISCH PC  
401 South Old Woodward Avenue  
Suite 311  
Birmingham, MI 48009
6. Total number of applications involved = 1
7. Total fee: \$40. Please charge deposit account 50-0496 for this fee. A duplicate copy of this cover letter is enclosed.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

The total number of pages, including cover letter and assignment is two.

Respectfully Submitted,

  
Eric M. Dobrusin (Reg. No. 33,867)

Enclosure

**ASSIGNMENT OF PATENT APPLICATION**

WHEREAS, Daniel M. Giaquinta of Mountain View, California, hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below identified application for United States Letter Patent:

Title of Invention: METHOD AND SYSTEM FOR THE IN SITU SYNTHESIS OF A COMBINATORIAL LIBRARY OF SUPPORTED CATALYST MATERIALS

Filing Date: March 1, 2000 Application No.: 09/516,669; and

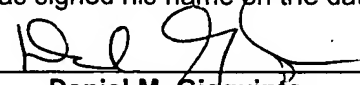
WHEREAS, **Symyx Technologies, Inc.**, is a corporation organized under the laws of the State of Delaware, located at 3100 Central Expressway, Santa Clara, California 95051, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application, and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that he will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has signed his name on the date indicated.

Date: 3/20/03

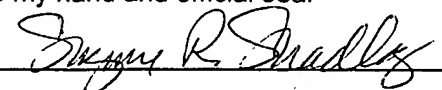
  
Daniel M. Giaquinta

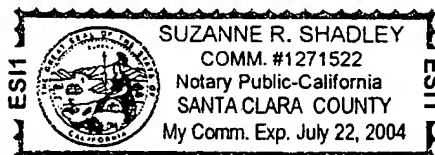
STATE OF California

COUNTY OF Santa Clara

On March 21, 2003, before me, Suzanne R. Shadley, Notary Public, personally appeared Daniel M. Giaquinta, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Signature  (Seal)



# INITIAL SCREENING OF INCOMING PAPERS CHECKLIST

Reviewer: CB Date: 11/19/03

APPLICATION NO. 10/62188

## 1. PETITION TYPE CODE

☐ R137(a) Petition-----501  
☐ R137(a) Petition-----509  
     (Issue Fee/Dwgs)  
☐ R137(b) Petition-----502  
☐ R137(b) Petition-----510  
     (Issue Fee/Dwgs)  
☐ R137(f) Petition-----536  
☐ R182 Petition-----519  
☐ R183 Petition-----503  
☐ R378(b) Petition-----532  
☐ R378(c) Petition-----533  
☐ R377 Petition-----521  
☐ R3.81(b) Petition-----523  
☐ R181 Petition-----515  
☐ R181 Petition-----504

## PETITION TYPE CODE

☐ R28c Petition-----321/320  
☐ R47 Petition-----313  
☐ R53(e) Petition-----408  
☐ R53 (R62 filing date)----410  
☐ R10 Petition-----411  
☐ Lost Application-----412  
☐ R78(a)(3) Petition-----535  
☐ R78(a)(6) Petition-----535  
☐ R55(c) Petition-----535  
☐ R314 Petition-----508  
☐ R55(a) Petition-----507  
☐ Pet. W/D Abn-----525  
☐ R705(b) PTA-Bef iss-----550  
☐ R705(d) PTA-Aft iss-----551  
☐ R705(c)PTA-SpiteDueCare-552  
☐ Other\_\_\_\_\_

## 2. LIST PAPERS FILED WITH PETITIONS

|   |  |   |
|---|--|---|
| <input type="checkbox"/> PreAmdt/Amdt     | <input type="checkbox"/> CPA                 | <input type="checkbox"/> Associate POA            |
| <input type="checkbox"/> Rule 312 Amdt    | <input type="checkbox"/> RCE                 | <input type="checkbox"/> Change of Address        |
| <input type="checkbox"/> Reply/Arguments  | <input type="checkbox"/> IDS                 | <input type="checkbox"/> Revocation/POA           |
| <input type="checkbox"/> Election         | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Oath/Decl. & POA         |
| <input type="checkbox"/> Notice of Appeal | <input type="checkbox"/> Request CofC        | <input type="checkbox"/> Priority Documents       |
| <input type="checkbox"/> Brief (3)        | <input type="checkbox"/> Issue Fee           | <input type="checkbox"/> Statement 3.73(b)        |
| <input type="checkbox"/> Reply Brief      | <input type="checkbox"/> Drawings            | <input type="checkbox"/> Rescind Non-Pub Req.     |
| <input type="checkbox"/> Declaration R132 | <input type="checkbox"/> Ext Time ( )        | <input type="checkbox"/> Notice of Foreign Filing |

Other Papers \_\_\_\_\_

3. Is paper a petition to withdraw holding of abandonment: yes no  
 If so, send paper and/or file to appropriate location (**Note: remove any flag set first**):

- Nonreceipt of action from TC or assertion that reply was timely filed:  
 Send paper to TC \_\_\_\_\_
- Nonreceipt of Missing Parts Notice or assertion that reply was timely filed:  
 Send paper to DIRECTOR -OIPE - -CP2-7D25 (PH: 308-0910)
- Assertion of timely payment of issue fee and/or submission of drawings:  
 Send petition to Office of Publications: ATTN: Tom Hawkins
- Other \_\_\_\_\_

4. Other: TC 1754  
 If not handled in Office of Petitions, send paper to appropriate location.

5. Is petition accompanied by assignment papers, fee address, or other paper which needs to be sent to another location? yes no If so, make copy of assignment papers, fee address, or other paper; mail original to proper location and place copy in file with an indication that the original paper(s) has been forwarded to the appropriate location (Assignment Branch; Maintenance Fee Division, etc.)

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